

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



November 2020 Traffic Stop Data Inspection

Inspection Report# BI2020-0143

Background:

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, (www.Evidence.com), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop to determine if a license and/or warrants check was run during the stop.

Matrix Procedures:

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

Criteria:

- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

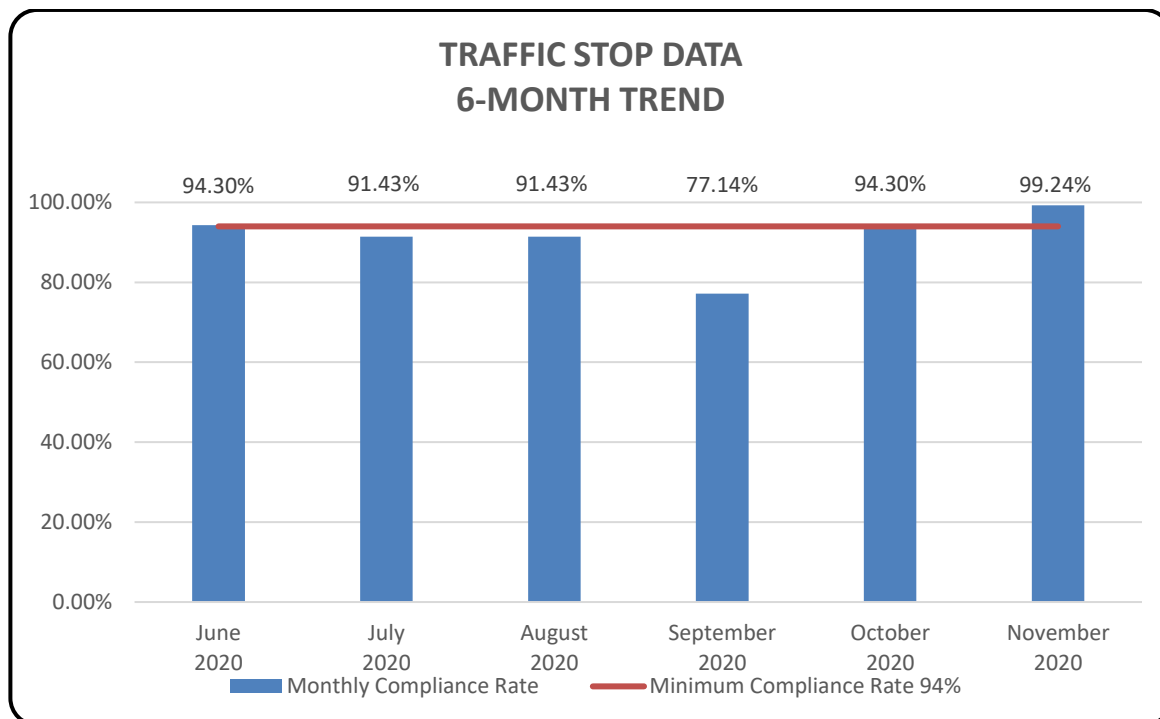
Conditions:

The MCSO reviewed 35 randomly selected traffic stops made during the month of November 2020. Additionally, 10 of the 35 were selected for Body-Worn Camera review. These traffic stops included 1 DUI (692), 0 Reckless Driving (693), and 0 Aggressive Driving (693R).

Results:

Each Traffic Stop will be counted as one inspection and all applicable matrix criteria inspection points will factor into an over-all compliance score. Example: If one Traffic Stop contains 39 inspection points (6 not applicable) and 2 deficiencies it will count as one Traffic Stop Inspection with a compliance score of 94.00%. The over-all compliance score for the monthly inspection will be the average score of the 35 stops reviewed.

The compliance rates of the provided traffic stop sample, utilizing the 39-inspection criterion, resulted in an overall average compliance rate of **99.24%** for November of 2020, as illustrated in the graph below.



Note: Starting in November 2020, the methodology for this inspection was modified and approved as to how deficiencies are calculated.

Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%

Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop	0	35	35	100%
Primary unit vehicle number and call sign match CAD	0	35	35	100%
2 nd person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 nd person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	1	34	35	97%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD and all applicable forms	3	32	35	91%
Reason no action was taken matches CAD and documented as lawful	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	2	33	35	94%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	0	35	35	100%
Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%

Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	1	34	35	97%

The inspector found the following potential deficiencies during the inspection:

District 1 (1 BIO Action Form):

Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC20224068	Deputy	Sergeant	Captain
Deficiency				
Deputy documented "Warning" on VSCF for "Contact Conclusion" when the violator was given a citation. (EB-2.2.P) **Employee has one previous BAF for Traffic Stop Data Inspection (BAF2020-0176)**				

Lakes (5 BIO Action Forms):

Deficiencies

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC20212671	Deputy	Sergeant	Captain
Deficiency				
Deputy did not complete an Assisting Deputy & Body Worn Camera Log. (Policy EB-2.2.D)				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC20212671	Deputy	Sergeant	Captain
Deficiency				
<ul style="list-style-type: none"> Deputy documented the wrong license plate of the violator's vehicle on VSCF/Citation/Incident Report. (Policy EB-1.14.A.5) Note: BWC, I-Net Viewer, JWI and CAD were all reviewed, and the documented license plate could not be found. Deputy did not provide violator with property receipt after seizing driver's license. (Policy EB-1.13.A.1.b.3.b) 				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC20216896	Deputy	Sergeant	Captain
Deficiency				
Deputy documented the wrong license plate state of violator's vehicle on VSCF and Citation. (Policy EB-1.14.A.5) Note: BWC was reviewed to verify license plate state on violators vehicle.				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC20226683	Deputy	Sergeant	Captain
Deficiency				
Deputy documented "Criminal Traffic" on VSCF for "Classification" when the violator was civilly cited. (Policy EB-2.14.A.4)				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC20229013	Sergeant	Lieutenant	Captain
Deficiency				
Deputy coded incident "6A-OFFENSE REPORT WITH BOOKING - INCLUDES TRAFFIC / NON TRAFFIC". Incident code "6T-CRIMINAL TRAFFIC CITE & RELEASE NO PROPERTY IMPOUNDED" should have been used because the violator was cited and released with no property impounded. (Policy GI-1.3.B)				
Note: BWC was reviewed and violator was released at the scene.				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

A total of 6 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).

Date Inspection Started: December 15th, 2020
Date Completed: December 17th, 2020
Timeframe Inspected: November 1st thru November 30th, 2020
Assigned Inspector(s): Sgt. Roger T. Bierwalter #S1263

I have reviewed this inspection report.

Lt. Todd Brice
Lieutenant Todd Brice S1767
Deputy Commander, Audits and Inspections Unit
Bureau of Internal Oversight

1-4-2021
Date