

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**TraCS Review Inspection: September 2020**

Inspection Report# BI2020-0117

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Review of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Review will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast Number 16-56.

**Compliance Objectives:**

- Determine what district/division the traffic stop data originated from
- Determine if the supervisor reviewed all completed traffic stop documentation within 72 hours
- Each Traffic Stop inspected will be counted as one inspection

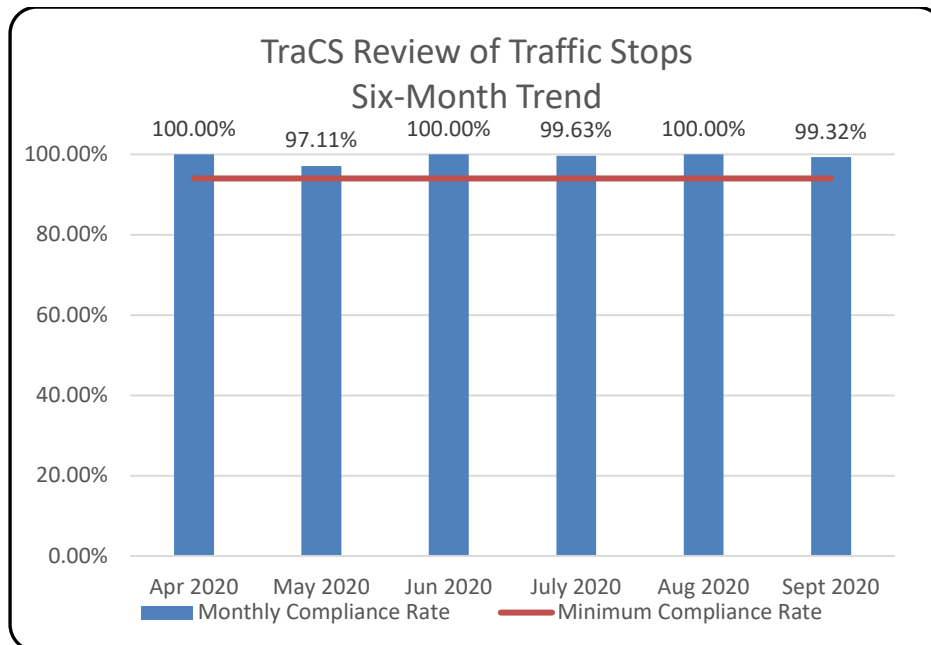
**Criteria:**

MCSO Policy EA-11, *Arrest Procedures (Section 14, Parts B & E)*  
 MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance (Section 17)*  
 MCSO Policy GB-2, *Command Responsibility (Section 13, Part B.2.a)*  
 MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisory Review Process*

**Conditions:**

MCSO’s assigned Court Monitors provided a sample of 37 Deputies from all Patrol Districts/Divisions for the September Traffic Stop Review Inspection. The sample of 37 Deputies provided a total of 294 traffic stops available for inspection; all 294 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 99.32% in the Review of Traffic Stops for the month of September 2020, as illustrated in the table below:



Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of September 2020 (i.e., out of a total of 294 stops, 292 were without deficiencies or were 99.32% in compliance).

The compliance rate of each division is illustrated in the table below:

| Division      | District 1 | District 2 | District 3 | District 4 | Lake Patrol | District 6 | District 7 |
|---------------|------------|------------|------------|------------|-------------|------------|------------|
| In Compliance | 29         | 37         | 24         | 61         | 70          | 40         | 31         |
| Total Stops   | 30         | 37         | 24         | 61         | 71          | 40         | 31         |
| Compliance %  | 96.67%     | 100%       | 100%       | 100%       | 98.59%      | 100%       | 100%       |

It should be noted that the completion of a Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

**District One**

| District/Division   | MC Incident # | Approving Supervisor | Current Supervisor | Current Commander |
|---|---------------|----------------------|--------------------|-------------------|
| District One  | MC20183502    | Sergeant             | Lieutenant         | Captain           |
| <b>Deficiency</b>   |               |                      |                    |                   |
| <p>This traffic stop was reviewed ten days after the stop occurred, per the time/date stamp. Policy requires the review of all stops within 72 hours (Reference Policies EA-11, EB-1, GB-2 &amp; Admin. Broadcast 16-56). This supervisor did not have any TraCs Review deficiencies during the last twelve-month period.</p> |               |                      |                    |                   |

**District Five**

| District/Division   | MC Incident # | Approving Supervisor | Current Supervisor | Current Commander |
|---|---------------|----------------------|--------------------|-------------------|
| Lake Patrol   | MC20179333    | Sergeant             | Lieutenant         | Captain           |
| <b>Deficiency</b>   |               |                      |                    |                   |
| <p>This traffic stop was reviewed six days after the stop occurred, per the time/date stamp. Policy requires the review of all stops within 72 hours (Reference Policies EA-11, EB-1, GB-2 &amp; Admin. Broadcast 16-56). This supervisor did not have any TraCs Review deficiencies during the last twelve-month period.</p> <p>This Supervisor noted in TraCs that there was an unknown TraCs issue which locked the VSCF, resulting in him being unable to review the form within the 72-hour time frame. Due to this, <b>no Bio-Action Form will be required.</b></p> |               |                      |                    |                   |

**Action Required:**

With the resulting **99.32%** compliance rate for Inspection #BI2020-0117, **1** BIO Action Form will be required.

Date Inspection Started: 10/28/2020  
Date Completed: 10/28/2020  
Timeframe Inspected: September 1st – 30th, 2020  
Assigned Inspector(s): Patty Huling # B3184

I have reviewed this inspection report.

*Lt. Todd Brice*

Lieutenant Todd Brice # S1767  
Commander, Audits & Inspections Unit  
Bureau of Internal Oversight

11-30-20

Date