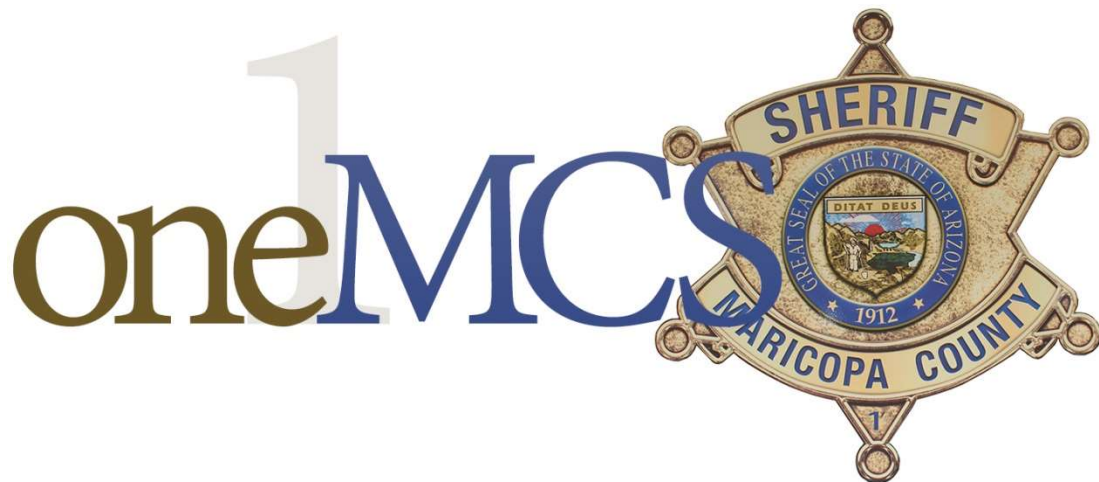


MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



May 2020 Traffic Stop Data Inspection

Inspection Report# BI2020-0061

Background:

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, (www.Evidence.com), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop to determine if a license and/or warrants check was run during the stop.

Matrix Procedures:

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

Criteria:

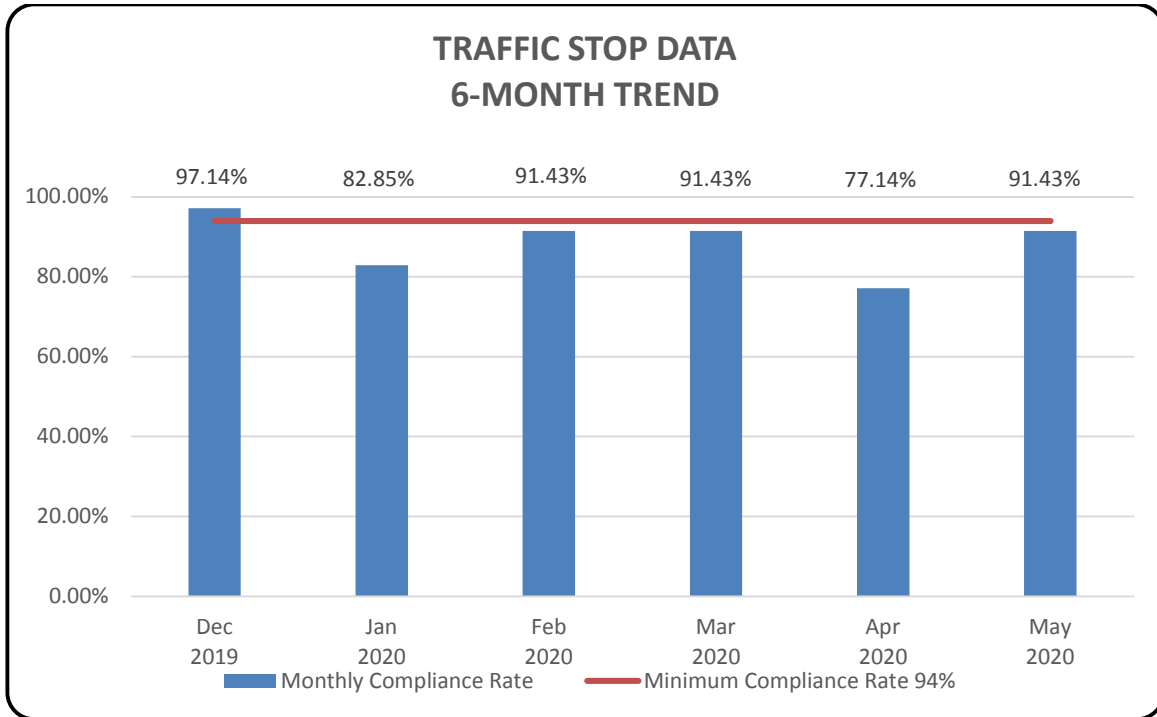
- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

Conditions:

The MCSO reviewed 35 randomly selected traffic stops made during the month of May 2020. Additionally, 10 of the 35 were selected for Body-Worn Camera review. These traffic stops included 0 DUI (692), 0 Reckless Driving (693), and 0 Aggressive Driving (693R).

Results:

- 91.43% or 32 out of 35 traffic stops had no compliance deficiencies (32/35=91.43%)
- 31 out of 35 traffic stops had no deficiencies.
- The overall results were a 14.29% increase in compliance percentage from the April 2020 inspection.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%
Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%

Arrest time documented in CAD and matches all applicable forms	1	34	35	97%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop	0	35	35	100%
Primary unit vehicle number and call sign match CAD	0	35	35	100%
2 nd person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 nd person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	0	35	35	100%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD and all applicable forms	0	35	35	100%
Reason no action was taken matches CAD and documented as lawful	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	2	33	35	94%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	0	35	35	100%
Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%

Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	1	34	35	97%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

District 2 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	MC20090716	Deputy	Sergeant	Captain
Deficiency				
Deputy marked "No" for "Arrest" on VSCF when violator was cited and released with a criminal citation. (Policy EB-2.2.P.3)				
Employee has 1 previous BAF for Traffic Stop Data Inspection BAF2020-0154				

District 3 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 3	MC20099684	Sergeant	Lieutenant	Captain
Deficiency				
Sergeant documented the wrong license plate of the violator’s vehicle on VSCF and Written Warning. (Policy EB-1.14.A.5) Note: BWC footage was viewed and it is unknown where the Sergeant obtained the Texas license plate he documented on the VSCF and Written Warning.				

Lakes (1 BIO Action Form):

Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC20102857	Deputy	Sergeant	Captain
Deficiency				
Deputy did not provide a “self-introduction” to the violator upon initial contact. (Policy EB-1.10.A)				

Major Crimes Division (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Major Crimes	MC20103218	Deputy	Sergeant	Captain
Deficiency				
Deputy documented the wrong license plate of the violator’s vehicle on VSCF and Citation. (Policy EB-1.14.A.5) Note: BWC footage was viewed and deputy voiced the proper license plate to dispatch and the license plate could be viewed on the vehicle. Note: This deficiency occurred while the employee was working the D.U.I. taskforce.				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

A total of 4 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).

Date Inspection Started: June 10th, 2020
Date Completed: June 15th, 2020
Timeframe Inspected: May 1st, 2020 to May 31st, 2020
Assigned Inspector(s): Sgt. Roger T. Bierwalter #S1263

I have reviewed this inspection report.

Lt. Todd Brice

Lieutenant Todd Brice S1767
Deputy Commander, Audits and Inspections Unit
Bureau of Internal Oversight

7-6-2020

Date