

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**EIS Alerts Inspection Report July 2020**

Inspection # BI2020-0095

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS (or all if less than 15) Alerts closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

**Matrix Procedure:**

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

**Criteria:**

MCSO Policy GB-2, *Command Responsibility*  
MCSO Policy GH-5, *Early Identification System (EIS)*

**Conditions:**

After AIU received 13 closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for 13 EIS Alerts completed/closed during July 2020:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	2	11	13	85.00%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	0	0	00.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	0	0	00.00%
<b>Compliance for EIS Alerts completed/Closed during March 2020</b>	<b>2</b>	<b>11</b>	<b>13</b>	<b>85.00%</b>

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2019-000094	Alert2019-0094	No Further Action	No
EA2019-000104	Alert2019-0100	Meeting with the Supervisor	Yes
EA2019-000115	Alert2019-0119	No Further Action	No
EA2020-0054	Alert2020-0047	Meeting with the Supervisor	No
EA2020-0067	Alert2020-0056	Supervisory Evaluation Period (30, 60, or 90 day period)	No
EA2020-0071	Alert2020-0064	Meeting with the Supervisor	Yes
EA2020-0089	Alert2020-0074	Meeting with the Supervisor	Yes
EA2020-0090	Alert2020-0077	Meeting with the Supervisor	No
EA2020-0093	Alert2020-0079	Meeting with the Supervisor	No
EA2020-0096	Alert2020-0082	No Further Action	No
EA2020-0097	Alert2020-0075	No Further Action	No
EA2020-0099	Alert2020-0089	Meeting with the Supervisor	Yes
EA2020-0100	Alert2020-0090	Meeting with the Supervisor	Yes

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed.

### District 3:

#### Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Commander
District 3	EA2019-000094	Alert2019-0094	Sergeant	Lieutenant	Captain
<b>Deficiency</b>					
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q)  Sergeant originally assigned the EIS Alert supervised employee when alert was generated.  Sergeant originally assigned the EIS Alert did not forward the alert to the employees' new supervisor until day 181.  <b>**This employee has one BAF related to EIS Alert Inspection BAF2020-0216**</b>  <b>Note:</b> This deficiency occurred while the employee was assigned to District 2.  <b>Note:</b> No BAF is required as the same issue was addressed in BAF2020-0216 (June 2020). This deficiency also occurred prior to BAF2020-0216 being completed.</p>					

Criminal Intelligence (1 BIO Action Form):

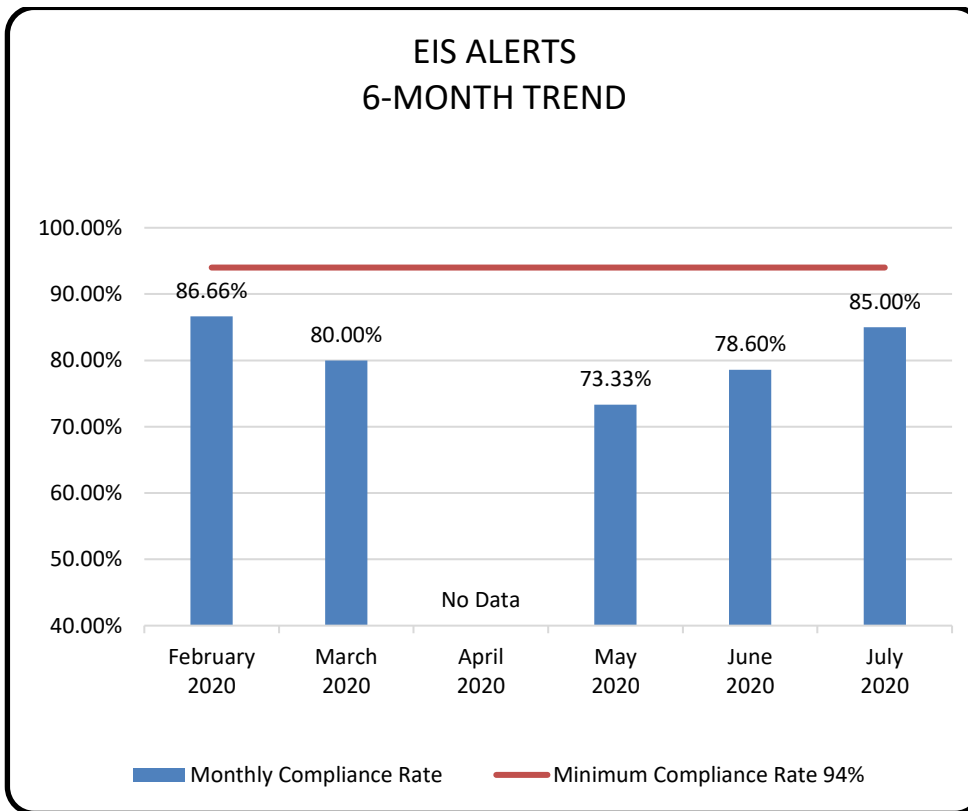
Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Chief
Criminal Intelligence	EA2019-000115	Alert2019-0119	Captain	Chief
Deficiency				
The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q) Sergeant had EIS Alert for 28 days. Lieutenant forwarded to Captain the same day received. Captain had EIS Alert for 7 days and forwarded it to EIS 5 days after due date.				

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 85.00% or 11 out of 13 EIS Alerts had no deficiencies (11 ÷ 13 = 85.00%).
- The overall results were a 6.40% increase in compliance from the June 2020 inspection.



**Action Required:**

With the resulting 85.00% compliance for Inspection BI2020-0095 a total of **1 BIO Action Form** is requested from the affected division. The forms shall be completed utilizing Blue Team.

**Notes:**

All supporting documentation is included in the inspection file number *BI2020-0095* and contained within IA Pro.

Date Inspection Started: August 6<sup>th</sup>, 2020  
Date Completed: August 6<sup>th</sup>, 2020  
Timeframe Inspected: July 2020  
Assigned Inspector: Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

*Lt. Todd Brice*

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Lt. Todd Brice S1767  
Deputy Commander, Audits & Inspections Unit  
Bureau of Internal Oversight

8-26-20

Date