

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



TraCS Discussion Inspection: January 2020

Inspection Report# BI2020-0021

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Discussion of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Discussion will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast 16-56.

Compliance Objectives:

- Determine what district/division the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed within a monthly time frame
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy EA-11, *Arrest Procedures (Section 14, Part E)*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance (Section 17)*

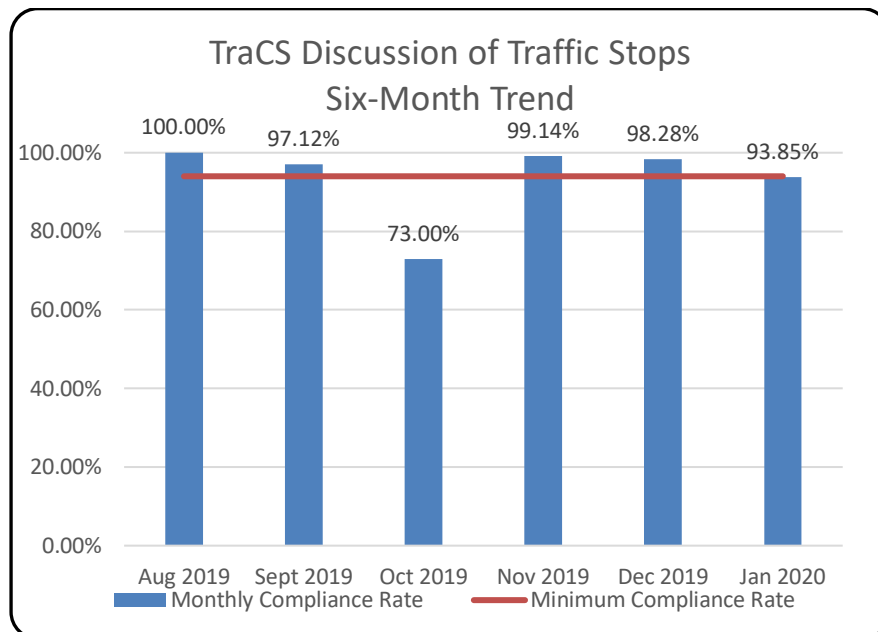
MCSO Policy GB-2, *Command Responsibility (Section 13, Parts E.1.a, E.1.b and E.1.c)*

MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisor Review Process*

Conditions:

MCSO’s assigned Court Monitors provided a sample of 33 Deputies from all Patrol Districts/Divisions for the Discussion Inspection covering data from January 2020. The sample of 33 Deputies provided a total of 179 traffic stops available for inspection; all 179 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 93.85% in the Discussion of Traffic Stops for the month of January 2020, as illustrated in the table below:



Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of January 2020 (i.e., out of a total of 179 stops, 168 were without deficiencies, or were 93.85% in compliance).

The compliance rate for each division is illustrated in the table below:

Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance	22	4	19	6	3	44	70
Total Stops	30	4	22	6	3	44	70
Compliance %	73.33%	100%	86.36%	100%	100%	100%	100%

It should be noted that the completion of a Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

The following deficiencies were noted during the inspection period:

District One

District/Division	MC Incident #	Approving Supervisor	Current Supervisor	Current Commander
District One	MC20015914	Sergeant	Lieutenant	Captain
District One	MC20007848	Sergeant	Lieutenant	Captain
District One	MC20001356	Sergeant	Lieutenant	Captain
District One	MC20001363	Sergeant	Lieutenant	Captain
District One	MC20001369	Sergeant	Lieutenant	Captain
District One	MC20001391	Sergeant	Lieutenant	Captain
District One	MC20000044	Sergeant	Lieutenant	Captain
District One	MC20014259	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy within 30 days, as per requirements of Polices EB-1, GB-2 & Administrative Broadcast # 16-56. As of 03/24/20, these traffic stops lacked a time & date stamp indicating that the supervisory discussion had been completed.				

District Three

District/Division	MC Incident #	Approving Supervisor	Current Supervisor	Current Commander
District Three	MC20020265	Sergeant	Lieutenant	Captain
District Three	MC20020396	Sergeant	Lieutenant	Captain
District Three	MC20020397	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy within 30 days, as per requirements of Polices EB-1, GB-2 & Administrative Broadcast # 16-56. As of 03/24/20, these traffic stops lacked a time & date stamp indicating that the supervisory discussion had been completed.				

Action Required:

With the resulting **93.85%** compliance rate for Inspection #BI2020-0021, **2** BIO Action Forms are required.

Recommendation:

It is recommended divisions monitor the status of Vehicle Stop Contact Forms (VSCF) to ensure they are discussed by Supervisors within 30 days. Divisions will utilize the TraCS system to monitor the discussion status of traffic stops by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact and Incidental Contact Forms), and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the Contact Forms and note "Discussed" under the Deputy Discussion column for those with completed discussions. By also completing the User ID search field, Supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: 03/24/2020
Date Completed: 03/24/2020
Timeframe Inspected: January 1st – 31st, 2019
Assigned Inspector(s): Patty Huling # B3184

I have reviewed this inspection report.

Lt. Todd Brice

Lieutenant Todd Brice # S1767
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

4-20-20

Date