

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Patrol Shift Roster Inspection 4th Quarter 2020

Inspection Report# BI2020-0158

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct inspections of Daily Patrol Shift Rosters on a quarterly basis to determine if the rosters are in compliance with Office policy. The Daily Patrol Shift Rosters are uniformly inspected utilizing a matrix developed by AIU, in accordance with procedures outlined in Policy GB-2, *Command Responsibility*.

Matrix Procedures:

- A Daily Patrol Shift Roster is completed for each work shift.
- Supervisor span of control is in accordance with MCSO Policy GB-2.
- Shift Supervisor positions are being filled by employees with the rank of Sergeant, Lieutenant, or above in accordance with MCSO Policy GB-2.
- Supervisors are assigned to, and work, the same days and hours as the deputies under their direct command, absent exceptional circumstances.
- Policy violations outside of the scope of this inspection are listed as non-compliance deficiencies.

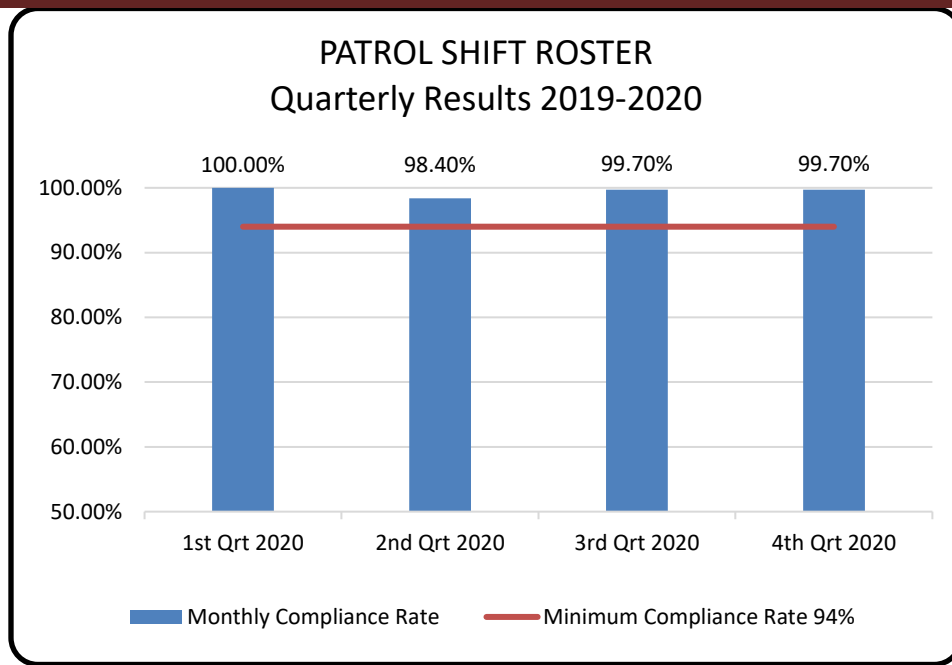
Criteria:

MCSO Policy GB-2, *Command Responsibility*

Conditions:

A random selection of one day (24-hour period) per week for the months of October, November and December was utilized. Due to possible specialty assignment squads and the variance of days in a month, the total number of shift rosters will vary each month. For the 4th quarter of 2020; there was a total of 120 rosters randomly selected for inspection. 100% of the randomly selected rosters were inspected.

MCSO achieved a compliance rate of **99.70% (total rosters in compliance / cumulative total rosters)** in the Patrol Shift Roster inspection for the 4th quarter of 2020, as illustrated in the graph below:



Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance:	12	12	12	12	47	12	12
Non-Comp. Def.	0	7	9	0	4	3	1
Comp. Def.	0	0	0	0	1	0	0
Total Rosters:	12	12	12	12	48	12	12
Compliance %:	100%	100%	100%	100%	98%	100%	100%

The following deficiencies were noted during the inspection period:

District II (3BIO Action Forms):

Non-Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 2	Sergeant	11/23/2020	Lieutenant	Captain
Deficiency				
Shift roster documents serial number S2159 for L225; Praxis shows serial number S2122 for L225. (Policy GB-2.13.A.2.d) **Employee has 3 previous BAF's for shift roster inspection (BAF2020-0137, BAF2020-0239 & BAF2020-0307)**				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 2	Sergeant	Multiple	Lieutenant	Captain
Deficiency				
10/11/20 Shift roster documents serial number S2215 for L235; Praxis shows serial number S2212 for L235 (Policy GB-2.13.A.2.d)				
10/24/20 Shift roster documents serial number S2215 for L223; Praxis shows serial number S2212 for L223 (Policy GB-2.13.A.2.d)				
Shift roster documents serial number S2212 for L225; Praxis shows serial number S2215 for L225 (Policy GB-2.13.A.2.d)				
12/6/20 Shift roster documents serial number S2215 for L235; Praxis shows serial number S2212 for L235 (Policy GB-2.13.A.2.d)				
Employee has 3 previous BAF's for shift roster inspection (BAF2020-0138, BAF2020-0240 & BAF2020-0308)				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 2	Sergeant	11/3/2020	Lieutenant	Captain
Deficiency				
Shift roster documents call sign L231 for S1936; Praxis shows call sign L232 for S1936 (Policy GB-2.13.A.2.f)				
Employee has 1 previous BAF for shift roster inspection (BAF2020-0243)				

District III (4 BIO Action Forms):

Non-Compliance Deficiencies

District/Division	Employee	Shift Date	Current Commander
District 3	Lieutenant	10/6/2020	Captain
Deficiency			
Shift roster documents vehicle 321508 for A231; Praxis shows vehicle 312027 for A231 (Policy GB-2.13.A.2.e)			
Note: This deficiency occurred when the employee was assigned to District 2 and rank of Sergeant.			
Employee has 2 previous BAF's for shift roster inspection (BAF2020-0241, BAF2020-0311)			

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 3	Sergeant	Multiple	Lieutenant	Captain
Deficiency				
11/23/20 Shift roster documents vehicle 321812 for L341; Praxis shows vehicle 321821 for L341 (Policy GB-2.13.A.2.e) 12/21/2020 Shift roster documents serial number R1432 for M346; Praxis shows serial number V1432 for M346 (Policy GB-2.13.A.2.d) **Employee has 4 previous BAF's for shift roster inspection (BAF2020-0046, BAF2020-0142, BAF2020-0246 & BAF2020-0310)**				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 3	Sergeant	Multiple	Lieutenant	Captain
Deficiency				
10/6/20 Shift roster documents serial number S1849 for L342; Praxis shows serial number S2193 for L342 (Policy GB-2.13.A.2.d) Shift roster documents call sign L342 for S1988; Praxis shows call sign L351 for S1988 (Policy GB-2.13.A.2.f) Shift roster documents vehicle 321849 for S1988; Praxis shows vehicle 321845 for S1988 (Policy GB-2.13.A.2.e) 11/3/20 Shift roster documents call sign A351 for S2195; Praxis shows call sign A344 for S2195 (Policy GB-2.13.A.2.f) 12/21/20 Shift roster documents vehicle 351534 for A343; Praxis shows vehicle 321534 for A343 (Policy GB-2.13.A.2.e)				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 3	Sergeant	Multiple	Lieutenant	Captain
Deficiency				
11/8/20 Shift roster documents vehicle 312039 for A350; Praxis shows vehicle 312038 for A350 (Policy GB-2.13.A.2.e) 11/23/20 Shift roster documents vehicle 321039 for A340; Praxis shows vehicle 312039 for A340 (Policy GB-2.13.A.2.e) **Employee has 2 previous BAF's for shift roster inspection (BAF2020-0042, BAF2020-0143)**				

District VI (3 BIO Action Forms):

Non-Compliance Deficiency

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
District 6	Sergeant	11/21/2020	Lieutenant	Captain
Deficiency				
Shift roster documents serial number S1684 for A652; Praxis shows serial number S1398 for A652 (Policy GB-2.13.A.2.d) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0145)**				

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
District 6	Sergeant	11/23/2020	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 311523 for L656; Praxis shows vehicle 311530 for L656 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0248)**				

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
District 6	Sergeant	12/6/2020	Lieutenant	Captain
Deficiency				
Shift roster documents serial number S2179 for G653; Praxis shows serial number S2178 for G653 (Policy GB-2.13.A.2.d)				

District VII (1 BIO Action Form):

Non-Compliance Deficiencies

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 7	Sergeant	11/8/2020	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 321071 for A741; Praxis shows vehicle 312071 for A741 (Policy GB-2.13.A.2.e)				

Lake Patrol (4 BIO Action Forms):

Compliance Deficiency

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
Lake Patrol	Sergeant	11/21/2020	Lieutenant	Captain
Deficiency				
No shift roster was completed for the day. (Policy GB-2.13.A.2) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0054)**				

Non-Compliance Deficiencies

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
Lake Patrol	Sergeant	Multiple	Lieutenant	Captain
Deficiency				
10/6/20 Shift roster documents no call sign for S2145; Praxis shows call sign T837 for S2145 (Policy GB-2.13.A.2.f) 10/26/20 Shift roster documents vehicle 311640 for T530; Praxis shows vehicle 321866 for T530 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0057)**				

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
Lake Patrol	Sergeant	10/24/2020	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 311521 for T825; Praxis shows vehicle 311789 for T825 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0053)**				

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
Lake Patrol	Sergeant	12/21/2020	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 311238 for T828; Praxis shows vehicle 321943 for T828 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0253)**				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the perceived deficiencies.

Action Required:

With the resulting **99.70%** compliance rate for Inspection #BI2020-0158, **15 BIO Action Forms**, are requested. The forms shall be completed utilizing Blue Team.

Date Inspection Started: October 11th, 2020
 Date Completed: January 18th, 2020
 Timeframe Inspected: October 1st, 2020 thru December 31st, 2020
 Assigned Inspector(s): Sgt. Roger T. Bierwalter S1263

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674

8 February 2021

Lieutenant Jonathan Halverson S1674
 Deputy Commander, Audits & Inspections Unit
 Bureau of Internal Oversight

Date