

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report December 2020

Inspection # BI2020-0164

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received **15** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for 15 EIS Alerts completed/closed during December 2020:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	2	13	15	86.67%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	2	0	2	00.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	0	0	100.00%
Compliance for EIS Alerts completed/Closed during March 2020	2	13	15	86.67%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2018-000326	Alert2018-0345	No Further Action	Yes
EA2019-000141	Alert2019-0143	Meeting with Supervisor	Yes
EA2019-000176	Alert2019-0180	Meeting with Supervisor	No
EA2020-0032	Alert2020-0034	Meeting with Supervisor	Yes
EA2020-0136	Alert2020-0128	Meeting with Supervisor	No
EA2020-0143	Alert2020-0125	Meeting with Supervisor	Yes
EA2020-0155	Alert2020-0143	Meeting with Supervisor	No
EA2020-0156	Alert2020-0144	Meeting with Supervisor	No
EA2020-0157	Alert2020-0145	Meeting with Supervisor	No
EA2020-0159	Alert2020-0147	No Further Action	Yes
EA2020-0160	Alert2020-0148	Employee Services	Yes
EA2020-0163	Alert2020-0150	Meeting with Supervisor	No
EA2020-0164	Alert2020-0152	No Further Action	No
EA2020-0166	Alert2020-0154	No Further Action	Yes
EA2020-0168	Alert2020-0156	Meeting with Supervisor	Yes

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed.

District 7 (1 BIO Action Form):

Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Current Commander
District 7	EA2019-000141	Alert2019-0143	Sergeant	Lieutenant	Captain
Deficiency					
The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q) Sergeant had EIS Alert more than 30 days. Note: Deficiency occurred while the employee was assigned to District 1					

Food Services (1 BIO Action Form):

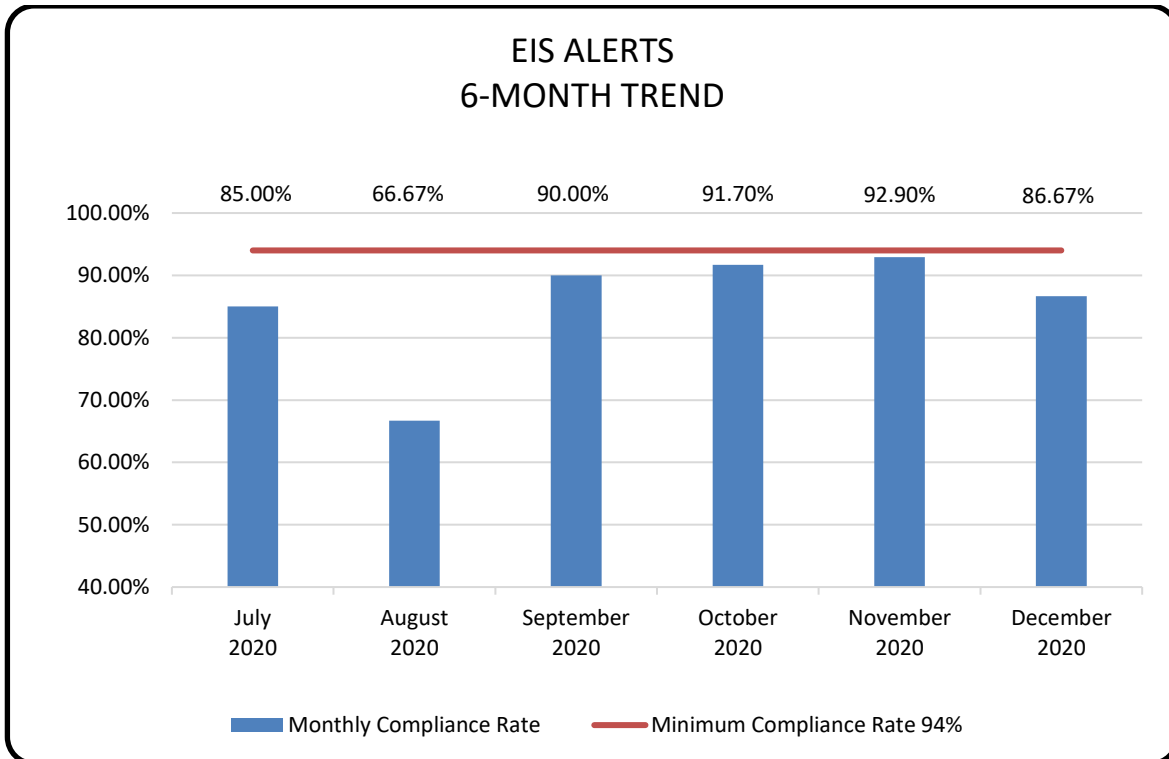
Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Current Commander
Durango Jail	EA2018-000326	Alert2018-0345	Sergeant	Lieutenant	Captain
Deficiency					
The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q) Sergeant had the alert for 36 days. Lieutenant had alert for 3 days. Captain had alert for 7 days. Note: Deficiency occurred while employee was assigned to Durango Jail.					

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 86.67% or 13 out of 15 EIS Alerts had no deficiencies (13 ÷ 15 = 86.67%).
- The overall result is a 6.23% decrease in compliance from the November 2020 inspection.



Action Required:

With the resulting 86.67% compliance for Inspection BI2020-0164 a total of **2 BIO Action Forms** is requested from the affected division. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentations are included in the inspection file number *BI2020-0164* and contained within IA Pro.

Date Inspection Started: January 11th, 2021
Date Completed: January 12th, 2021
Timeframe Inspected: December 2020
Assigned Inspector: Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

Lt. Todd Brice
Lt. Todd Brice S1767
Deputy Commander, Audits & Inspections Unit
Bureau of Internal Oversight

1-14-2021
Date