

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



TraCS Review Inspection December 2019

Inspection Report# BI2019-0180

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Review of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Review will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast Number 16-56.

Compliance Objectives:

- Determine what district/division the traffic stop data originated from
- Determine if the supervisor reviewed all completed traffic stop documentation within 72 hours
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy EA-11, *Arrest Procedures (Section 14, Parts B & E)*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance (Section 17)*

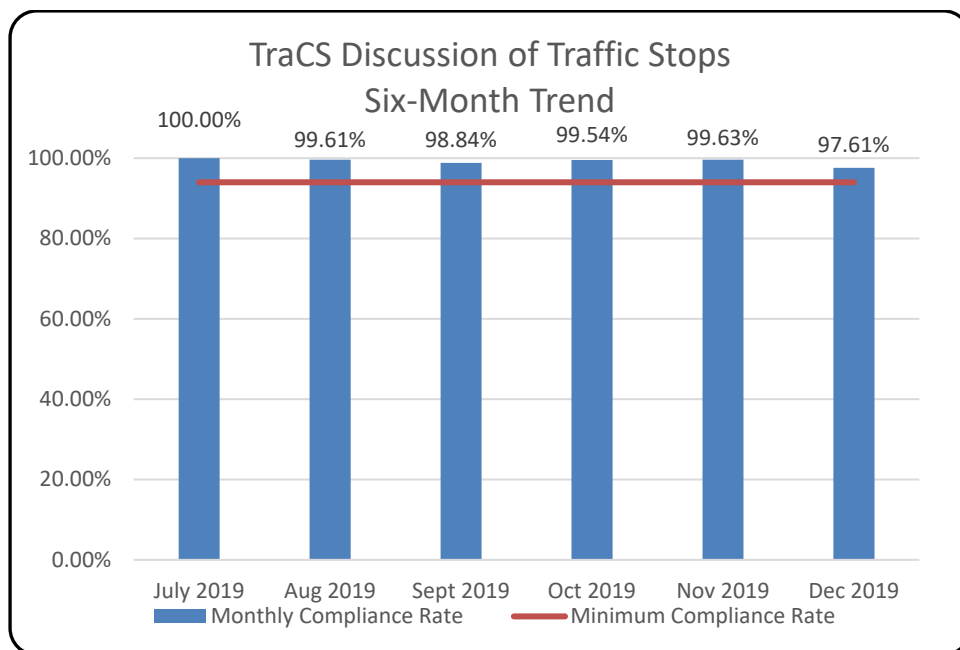
MCSO Policy GB-2, *Command Responsibility (Section 13, Part B.2.a)*

MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisory Review Process*

Conditions:

MCSO’s assigned Court Monitors provided a sample of 34 Deputies from all Patrol Districts/Divisions for the December Traffic Stop Review Inspection. The sample of 34 Deputies provided a total of 251 traffic stops available for inspection; 251 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 97.61% in the Review of Traffic Stops for the month of December 2019, as illustrated in the table below:



Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of December 2019 (i.e., out of a total of 251 stops, 245 were without deficiencies, or were 97.61% in compliance).

The compliance rate of each division is illustrated in the table below:

Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance	43	18	4	15	123	24	18
Total Stops	45	18	4	15	125	25	19
Compliance %	95.56%	100.00%	100.00%	100.00%	98.40%	96.00%	94.74%

It should be noted that the completion of a Review Inspection is dependent on when AIU receives the sample from the Court Monitors.

The following deficiencies were noted during the inspection period:

District 1 (1 BIO Action Form)

District/Division	MC #	Employee	Current Supervisor	Current Commander
District One	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
MC19269077 was reviewed (5) days after the incident. Policies EA-11, EB-1, GB-2 & Admin. Broadcast 16-56. Inspector Note: Nothing noted in TraCS regarding late review.				
District/Division	MC #	Employee	Current Supervisor	Current Commander
District One	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
MC19273513 was reviewed (6) days after the incident. Policies EA-11, EB-1, GB-2 & Admin. Broadcast 16-56. Inspector Note: Nothing noted in TraCS regarding late review.				

District 6 (1 BIO Action Form)

District/Division	MC #	Employee	Current Supervisor	Current Commander
District Six	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
MC19269554 was reviewed (4) days after the incident. Policies EA-11, EB-1, GB-2 & Admin. Broadcast 16-56. Inspector Note: Nothing noted in TraCS regarding late review.				

District 7 (1 BIO Action Form)

District/Division	MC #	Employee	Current Supervisor	Current Commander
District Seven	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
MC19258298 was reviewed (5) days after the incident. Policies EA-11, EB-1, GB-2 & Admin. Broadcast 16-56.				
Inspector Note: Nothing noted in TraCS regarding late review.				

Lake Patrol (1 BIO Action Form)

District/Division	MC #	Employee	Current Supervisor	Current Commander
Lake Patrol	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
MC19273505 was reviewed (8) days after the incident. Policies EA-11, EB-1, GB-2 & Admin. Broadcast 16-56.				
Inspector Note: Per comments in TraCS, District 4 supervisor attempted to review form 8 days after incident. Employee who authored form was working DUI task force and was supervised by above listed Sergeant.				
District/Division	MC #	Employee	Current Supervisor	Current Commander
Lake Patrol	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
MC19274749 was reviewed (5) days after the incident. Policies EA-11, EB-1, GB-2 & Admin. Broadcast 16-56.				
Inspector Note: Per comments in TraCS, District 4 supervisor attempted to review form 5 days after incident. Employee who authored form was working DUI task force and was supervised by above listed Sergeant.				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the perceived deficiencies.

Action Required:

With the resulting **97.61%** compliance rate for Inspection #BI2019-0180, **4 BIO Action Forms** are required. The form shall be completed utilizing Blue Team.

Recommendations:

It is recommended the inspection of the review of traffic stops and vehicle stop contact forms continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. **Within 72 hours of completion**, supervisors are responsible for reviewing any form in TraCS that is in pending review status, which shall now include the "Vehicle Stop Contact Form."

It is also recommended that each division utilize the TraCS system to monitor the review status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the contact forms and note "Reviewed" under the Status column for those with completed reviews. By also completing the User ID search field supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: 1/23/2020
Date Completed: 1/27/2020
Timeframe Inspected: December 1st – 31st, 2019
Assigned Inspector: Sgt. Rob Levy S1881

I have reviewed this inspection report.

Lt. D. Reaulo S1678
Lieutenant Dominick Reaulo S1678
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

2/19/2020
Date